

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor(s): Julie E. Fouquet

Serial No.: 09/407,542

Filing Date: Sept. 28, 1999

Title: PLANAR LIGHTWAVE CIRCUIT BASED OPTICAL SWITCHES USING MICROMIRRORS IN TRENCHES

Examiner: Not Assigned

Group Art Unit: 2874

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on Oct. 16, 1999. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

() Statutory basic filing fee () Utility \$760.00 () Design \$430.00

() Additional claim fees of \$

(X) Missing Parts Surcharge \$130.00

() A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

() one month \$110.00
() two months \$380.00
() three months \$870.00
() four months \$1360.00

Please charge to Deposit Account **08-2025** the sum of \$130.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) A duplicate copy of this transmittal letter is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: **Dec. 10, 1999**

Typed Name: **Linda A. Iimura**

Signature: *Linda A. Iimura*

Respectfully submitted,

Julie E. Fouquet

By *[Signature]*

Ian Hardcastle

Attorney/Agent for Applicant(s)
Reg. No. **34,075**

Date: **Dec. 10, 1999**

Telephone No.: **(650) 857-3015**



UNITED STATES DEPARTMENT OF COMMERCE

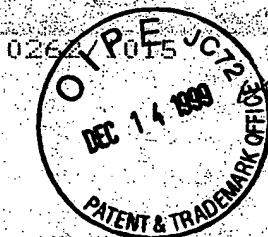
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/407,542 09/28/99 FOUQUET

10991104-1

IP ADMINISTRATION
LEGAL DEPARTMENT 20BN
HEWLETT PACKARD COMPANY
P O BOX 10301
PALO ALTO CA 94303-0890

NOT ASSIGNED

2874
DATE MAILED:

10/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☒ 1. The statutory basic filing fee is:

- ☐ missing
☐ insufficient

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.
\$_____ for _____ independent claims over 3.
\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

HARRISON T-6

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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